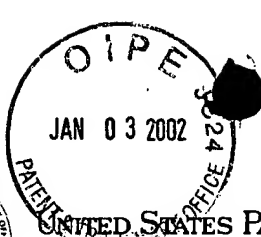


#5



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/822,961	03/30/2001	Mark Bungler	SFTC-01004US0

CONFIRMATION NO. 8324

28554

VIERRA MAGEN MARCUS HARMON & DENIRO LLP  
685 MARKET STREET, SUITE 540  
SAN FRANCISCO, CA 94105

FORMALITIES LETTER



\*OC000000006054448\*

Date Mailed: 05/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

Adjustment date: 01/30/2002 AKELLEY  
01/08/2002 GTEFFERA 00000128 501826 09822961  
03 FC:205 65.00 CR

*A copy of this notice **MUST** be returned with the reply.*

01/08/2002 GTEFFERA 00000128 501826 09822961  
03 FC:205 65.00 CH

RECEIVED

JAN 08 2002

OFFICE OF PETITIONS

*MDuong*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application ) PATENT APPLICATION  
Inventors: Bunger, et al. )  
Appl. No.: 09/822,961 )  
Filed: March 30, 2001 )  
Title: METHOD, SYSTEM, AND COMPUTER )  
READABLE MEDIUM FOR FACILITATING )  
A TRANSACTION BETWEEN A CUSTOMER, )  
A MERCHANT AND AN ASSOCIATE ) Customer No. 28554

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to **Box Missing Parts, Commissioner for Patents, Washington, DC 20231**, on November 8, 2001.

*Kirk J. DeNiro* 11/8/01  
Kirk J. DeNiro, Reg. No. 35,854  
Signature Date: November 8, 2001

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

**RECEIVED**

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

JAN 08 2002  
OFFICE OF PETITIONS

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application, dated May 8, 2001, enclosed are the following documents in connection with the above-identified application:

- X Copy of Notice to File Missing Parts of Nonprovisional Application
- X Declaration for Patent Application
- X Assignment and Assignment Recordation Form Cover Sheet
- X Power of Attorney
- X Petition for Extension of Time (4 months, to 11/8/01)
- X Copy of Petition to File Patent Application When an Inventor, Michael McCaffery, Cannot be Reached or Found to Sign the Declaration

The PTO did not receive the following  
listed item(s) a Check for \$955

X Copy of Statement of Edward Bank in Support of Petition to File Patent Application When an Inventor, Michael McCaffery, Cannot be Reached or Found to Sign the Declaration

*[Originals of (1) Petition to File Patent Application and (2) Statement of Edward Bank have been filed with the Assistant Commissioner for Patents at Box DAC.]*

**Total Fee**

The Total Fee associated with this communication has been calculated as shown below:

<u>X</u>	Petition fee under 37 C.F.R. §1.17(h)	\$130.00
<u>X</u>	Net fee for extension of time	\$720.00
<u>X</u>	Assignment recording fee (\$40.00)	\$ 40.00
<u>X</u>	Surcharge under 37 C.F.R. §1.16(e) for late filing of filing fee or declaration:	
	Large Entity	\$130.00
	Small Entity	\$ 65.00

TOTAL FEE DUE: \$955.00

**Method of Payment of Fees**

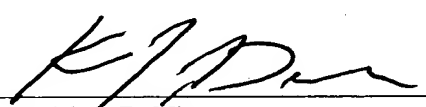
X A check in the amount of the TOTAL FEE DUE is enclosed.

X The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 501826. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: November 8, 2001

By: \_\_\_\_\_

  
Kirk J. DeNiro  
Reg. No. 35,854

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San Francisco, California 94105-4206  
Telephone (415) 369-9660